



## MARVIN “Ken” HARRELL

### *Business Experience:*

#### *(1986 – Present)*

- Currently serve as a Registered Investment Adviser Representative for a limited number of clients and adhere to Securities Exchange Commission regulations relative to my activities. I am not accepting any additional clients.
- Previously served both business and personal financial planning clients and associated with FINRA member firms as a Registered Principal, Registered Representative, and Registered Investment Adviser.
- Previously multi-state licensed to represent Life, Health, and Property and Casualty Insurance to business and personal clientele.
- Taught courses in pre-licensing of insurance and securities registration.

#### *(1967 – 1986) “Highlights”*

- Publishing, Sports Promotion, and Merchandising – Director Finance Services
- Contract Management – Finance Director, Iranian Operations
- Manufacturing - Consultant
- Systems Design & Contract Management Entertainment (AFTRA/SAG)
- Publishing – Consultant
- International Financial Reporting Systems Design - Consultant
- Regional Branch Banking – Operations Officer

### *Education*

- M.S. Agricultural Economics, University of Montana, 1966
- B.S. Business Administration, College of Great Falls, MT 1964

### *License & Membership*

- SEC – Registered Investment Adviser Representative
- FINRA – Registered Series 7, 26, 63. Series 65
- CA Department of Insurance – Life (Variable), Health, Property & Casualty (1992 – 2017)
- United States Coast Guard Auxiliary – District Captain (S) (2002 – 2022)
- Our Lady of Guadalupe parishioner (2010 – Present); Parish Finance Council (2014 – Present); PFC Chair (2022 – Present); Lector (Current).
- Iran/American Chamber of Commerce (1977 – 1979) Chairman, Banking & Finance Committee

### *Military*

- United States Air Force (SAC) – Sanitary & Industrial Hygiene Engineering (1959-1965)