



MARVIN “Ken” HARRELL

Business Experience:

(1986 – Present)

- Currently serve as a Registered Investment Adviser Representative for a limited number of clients and adhere to Securities Exchange Commission regulations relative to my activities. I am not accepting any additional clients.
- Previously served both business and personal financial planning clients and associated with FINRA member firms as a Registered Principal, Registered Representative, and Registered Investment Adviser.
- Previously multi-state licensed to represent Life, Health, and Property and Casualty Insurance to business and personal clientele.
- Taught courses in pre-licensing of insurance and securities registration.

(1967 – 1986) “Highlights”

- Publishing, Sports Promotion, Publishing – Director Finance Services
- Contract Management – Finance Director, Iranian Operations
- Manufacturing - Consultant
- Systems Design & Contract Management Entertainment (AFTRA/SAG)
- Publishing – Consultant
- International Financial Reporting Systems Design - Consultant
- Regional Branch Banking – Operations Officer

Education

- M.S. Agricultural Economics, University of Montana, 1966
- B.S. Business Administration, College of Great Falls, MT 1964

License & Membership

- SEC – Registered Investment Adviser Representative
- FINRA – Registered Series 7, 26, 63. Series 65
- CA Department of Insurance – Life (Variable), Health, Property & Casualty (1992 – 2017)
- United States Coast Guard Auxiliary – District Captain (S) (Retired)
- Iran/American Chamber of Commerce (1977 – 1979) Chairman, Banking & Finance Committee

Military

- United States Air Force (SAC) – Sanitary & Industrial Hygiene Engineering (1959-1965)